Probing Thin Film Thermophysical Properties using the Femtosecond Transient ThermoReflectance Technique

Pamela M. Norris

Director of the Microscale Heat Transfer Laboratory and The Aerogel Research Lab

Department of Mechanical and Aerospace Engineering
University of Virginia

REPORT DOCUMENTATION PAGE Public reporting burder for this collection of information is estibated to average 1 hour per response, including the time for reviewing instructions, searching existing data source					Form Approved OMB No. 0704-0188	
and reviewing this collection of information. Send comments regarding Headquarters Services, Directorate for Information Operations and Rep law, no person shall be subject to any penalty for failing to comply with	g this burden estim ports (0704-0188), h a collection of in	ate or any other aspect of this coll 1215 Jefferson Davis Highway, S formation if it does not display a	ection of information, inc uite 1204, Arlington, VA	eluding suggestions for reducin 22202-4302. Respondents sho rol number. PLEASE DO NO	g this burder to Department of Defense, Washington ould be aware that notwithstanding any other provision of T RETURN YOUR FORM TO THE ABOVE ADDRESS.	
1. REPORT DATE (DD-MM-YYYY) 30-05-2001		REPORT TYPE orkshop Presentations		3. DATES COVERED (FROM - TO) 30-05-2001 to 01-06-2001		
4. TITLE AND SUBTITLE Probing Thin Film Thermophysical Properties using the Femtosecond Transient ThermoReflectance Technique Unclassified				5a. CONTRACT NUMBER		
			nsient	5b. GRANT NUMBER		
				5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S)				5d. PROJECT NUMBER		
Norris, Pamela M. ;				5e. TASK NUMBER		
				5f. WORK UNIT		
7. PERFORMING ORGANIZATION NAME AND ADDRESS University of Virginia Department of Mechanical and Aerospace Engineering Charlottesville, VAxxxxx				8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGENCY NAME AND ADDRESS				10. SPONSOR/MONITOR'S ACRONYM(S)		
Office of Naval Research International Field Office				11. SPONSOR/MONITOR'S REPORT		
Office of Naval Research				NUMBER(S)		
Washington, DCxxxxx				` /		
12. DISTRIBUTION/AVAILABILITY ST APUBLIC RELEASE	TATEMEN	T				
13. SUPPLEMENTARY NOTES See Also ADM001348, Thermal Materials downloaded from: http://www-mech.eng.c.	s Workshop am.ac.uk/o	2001, held in Camb	ridge, UK on M	May 30-June 1, 200	01. Additional papers can be	
14. ABSTRACT						
? Discuss Femtosecond Transient Thermol materials. ? Demonstrate the measurement metallic films using the FTTR technique. ? temperature. ? Present experimental results solar cells.	of the ther? Discuss th	mal diffusivity, elect ne importance of con	tron-phonon considering the nor	upling factor, and a	thermal boundary resistance of thin p between reflectance and	
15. SUBJECT TERMS						
16. SECURITY CLASSIFICATION OF:		17. LIMITATION OF ABSTRACT Public Release	18. NUMBER OF PAGES 37	19. NAME OF R Fenster, Lynn Ifenster@dtic.m	RESPONSIBLE PERSON	
a. REPORT b. ABSTRACT c. THI Unclassified Unclassified Unclas				19b. TELEPHONE NUMBER International Area Code Area Code Telephone Number 703767-9007 DSN 427-9007		
					Standard Form 298 (Rev. 8-98) Prescribed by ANSI Std Z39.18	

Acknowledgements

Students: Dr. John Hostetler (Princeton Lightwave), Dr. Andrew Smith (US Naval Academy), James McLeskey, Michael Klopf

This work was funded by:

National Science Foundation

#CTS-9908372

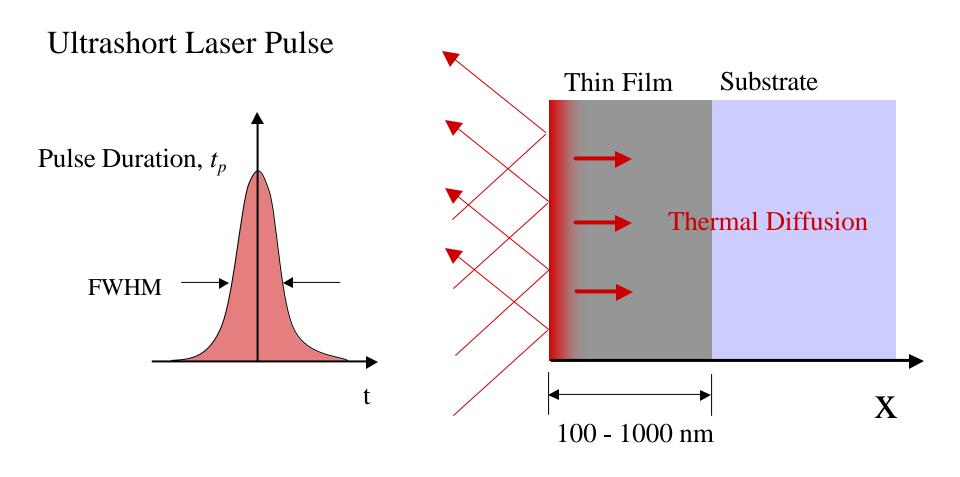
#CTS-9501911

BP Solar

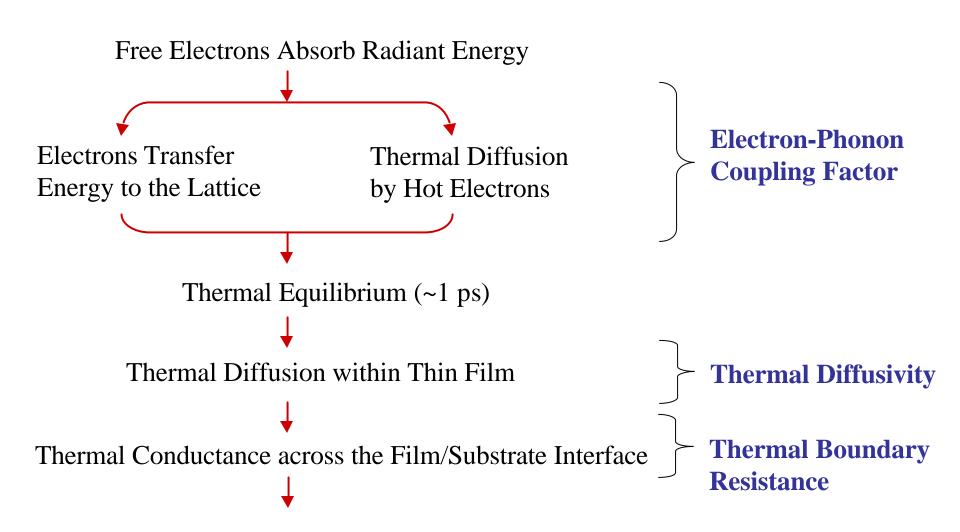
Objectives

- Discuss Femtosecond Transient ThermoReflectance (FTTR) technique as a method for measuring the thermophysical properties of thin film materials.
- Demonstrate the measurement of the thermal diffusivity, electron-phonon coupling factor, and thermal boundary resistance of thin metallic films using the FTTR technique.
- Discuss the importance of considering the nonlinear relationship between reflectance and temperature.
- Present experimental results for Femtosecond Transient ThermoTransmittance (FTTT) studies performed on amorphous silicon solar cells.

Transient Thermal Property Measurement



Nonequilibrium Energy Deposition Process



Parabolic Two Step (PTS) Model

Electron System:

$$C_e(T_e) \frac{\P T_e}{\P t} = \frac{\P}{\P x} \left(K_e(T_e) \frac{\P T_e}{\P x} \right) - G[T_e - T_l] + S(x, t)$$

Lattice System:

$$C_l \frac{\P T_l}{\P t} = G \big[T_e - T_l \big]$$

Electron Heat Capacity:

$$C_e(T_e) = gT_e$$

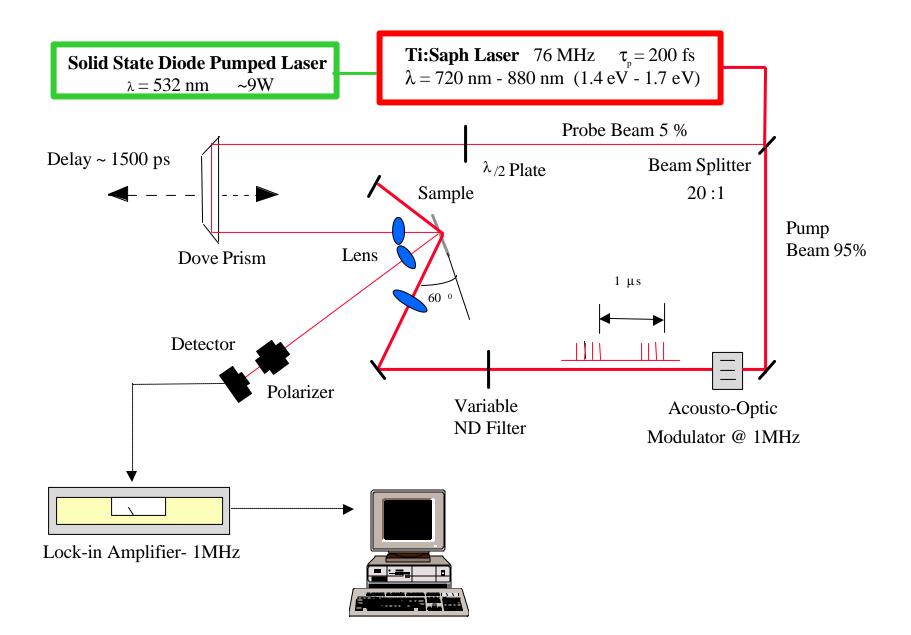
Electron Thermal Conductivity:

$$K_e(T_e, T_l) = K_{eq} \frac{T_e}{T_l}$$

G =Electron Phonon Coupling Factor

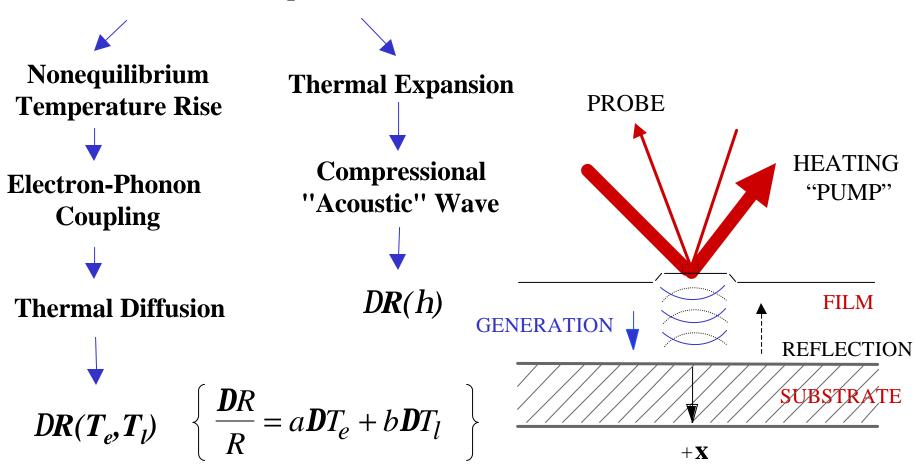
 C_l = Lattice Heat Capacity g = Electron Heat Capacity Constant S(x,t) = Laser Source Term

Transient ThermoReflectance Technique

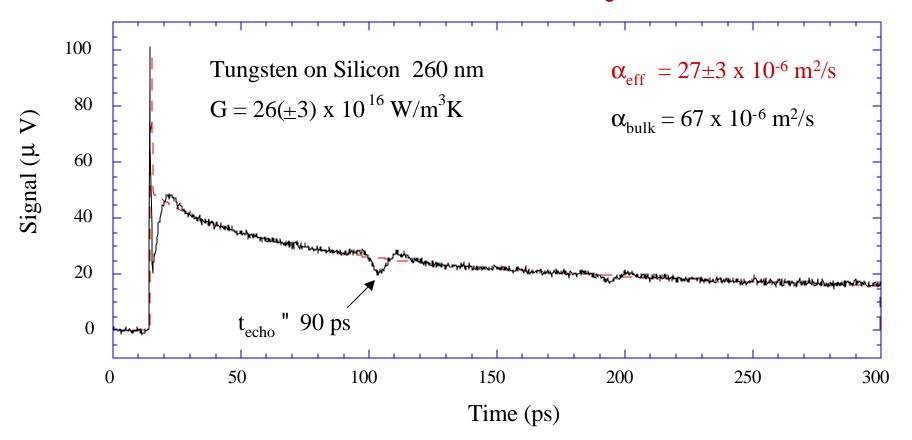


Reflectivity as a Function of Temperature and Strain

Laser Absorption

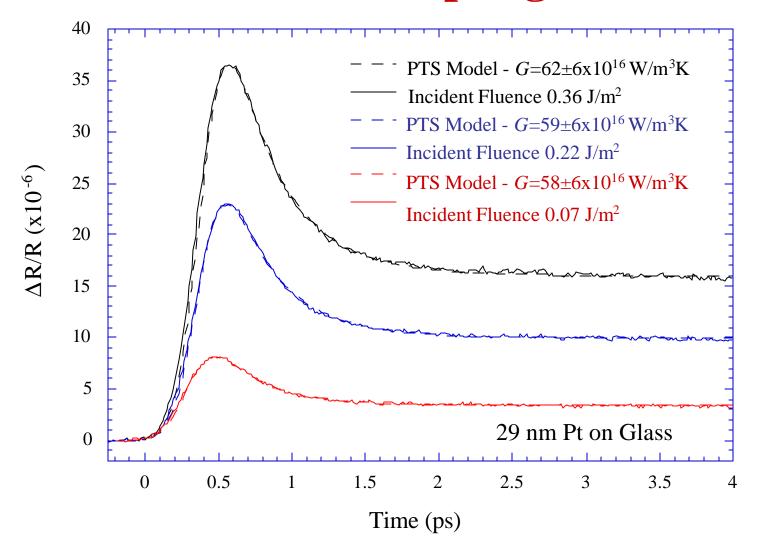


Thermal Diffusivity (W)



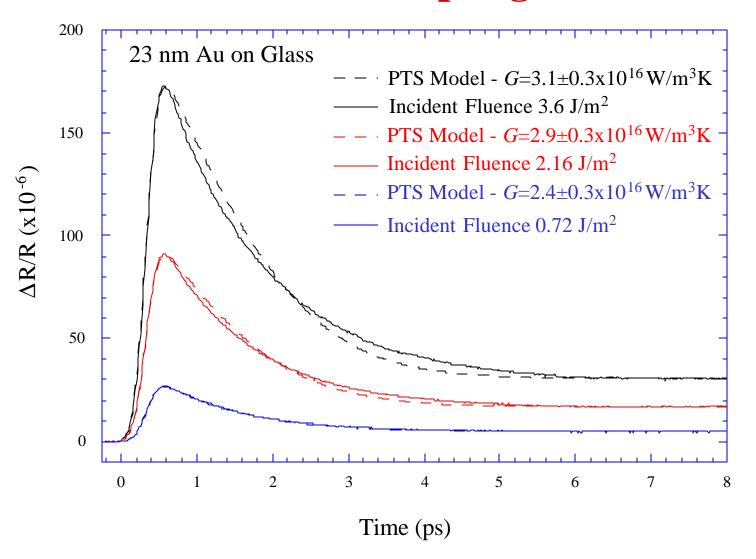
- The large initial response represents the initial nonequilibrium electron temperature.
- The ultrasonic echoes allow for the measurement of the sound velocity and/or elastic constants assuming the film thickness is known.
- The diffusion of thermal energy allows for determination of the thermal diffusivity.

Electron Phonon Coupling Factor (Pt)



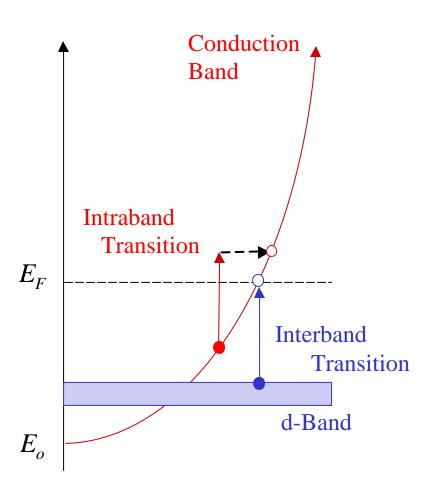
Slower scans of the nonequilibrium period allow for the measurement of electron phonon coupling factor, G. A linear relationship was used, $\mathbf{D}R/R = a\mathbf{D}T_e + b\mathbf{D}T_l$.

Electron Phonon Coupling Factor (Au)



Note that the values of the electron-phonon coupling factor determined assuming a linear reflectance model change with the incident fluence.

Absorption Mechanisms in Metals



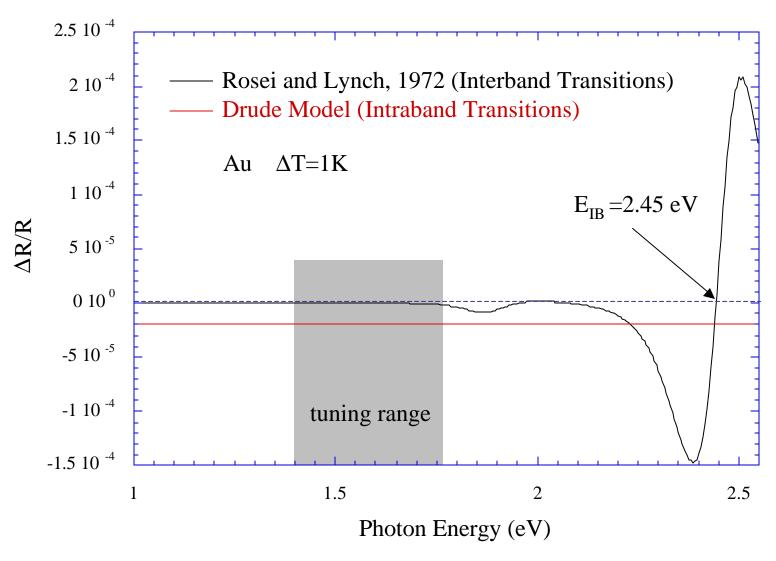
Intraband Transitions

- 1) Indirect transitions which require a collision.
- 2) Strongly influenced by the electron collisional frequency.

Interband Transitions

- 1) Direct transitions from the filled d-band to the conduction band.
- 2) Strongly influenced by changes in both the Fermi distribution and the interband transition energy.

ThermoReflectance Response of Au



In the tuning range of the Ti:Saph laser, the thermoreflectance response of Au results from changes in the intraband transition probabilities.

Intraband Transitions

Drude model for the dielectric function of a nearly free electron metal

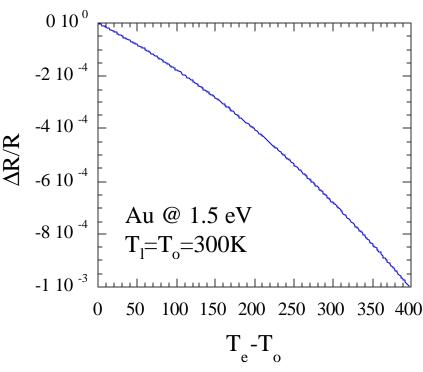
$$e(T_e, T_l) = 1 - \frac{{\mathbf{w}_p}^2}{{\mathbf{w}[\mathbf{w} + i{\mathbf{w}_t}(T_e, T_l)]}}$$
 \mathbf{w}_p Plasma Frequency

we incident Photon Frequency

Electron Collisional Frequency:

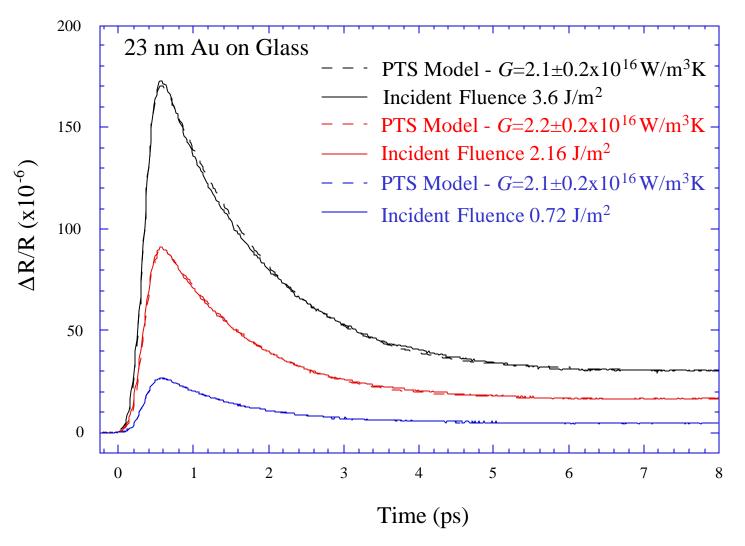
$$\mathbf{w_t}(T_e, T_l) \approx \frac{1}{\mathbf{t}} = A_{ee}T_e^2 + B_{ep}T_l$$

$$DR \approx 10^{-6} \frac{1}{K}$$



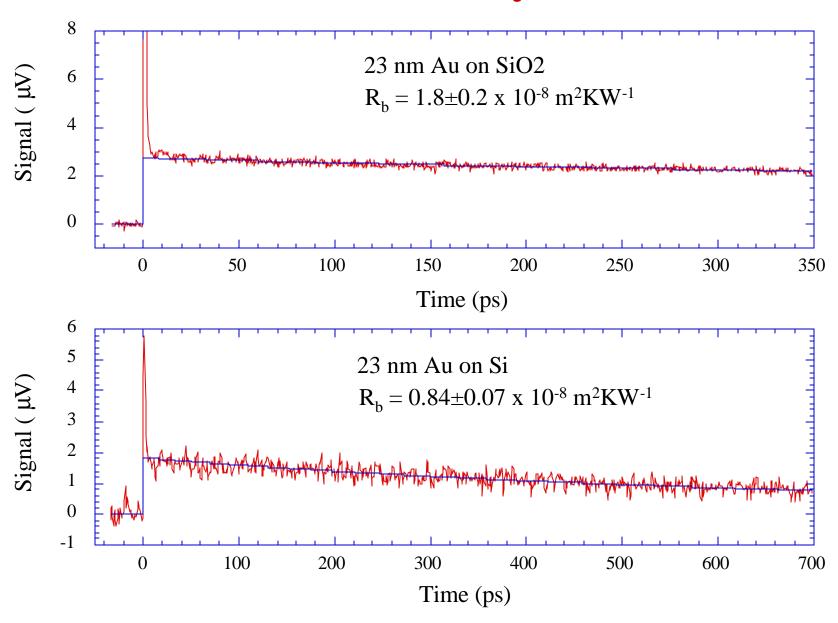
Nonequilibrium Electron Temperature

Intraband Reflectance Model (Au)

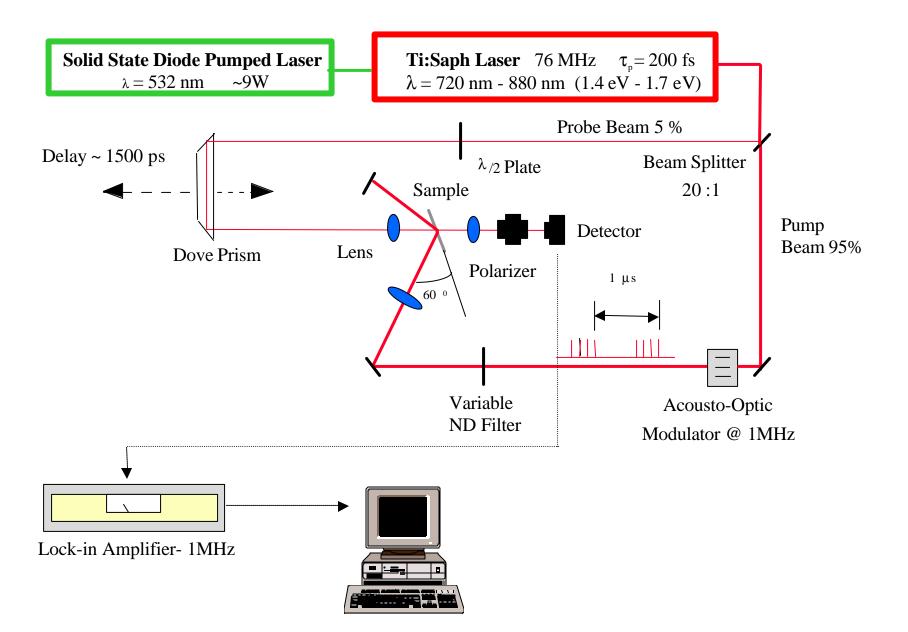


The Drude model was used to relate changes in temperature to reflectance. The electron-electron scattering coefficient was determined to be $A_{ee} = 1.4 \times 10^7 \text{ 1/s} \text{K}^2$.

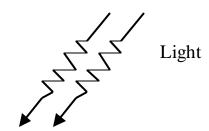
Thermal Boundary Resistance



Transient ThermoTransmittance Technique



Probing Amorphous Silicon Solar Cells



Glass

Transparent conducting oxide $(SnO_2) \approx 600$ nm thick

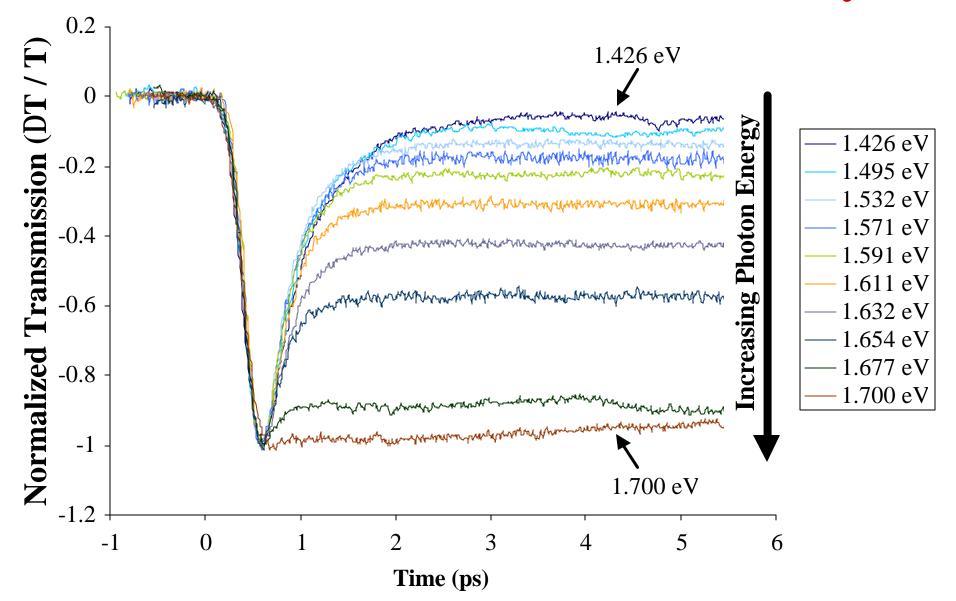
Boron doped (p-type) a-Si \approx 10 nm thick

Intrinsic layer (undoped) a-Si ≈ 200 nm thick

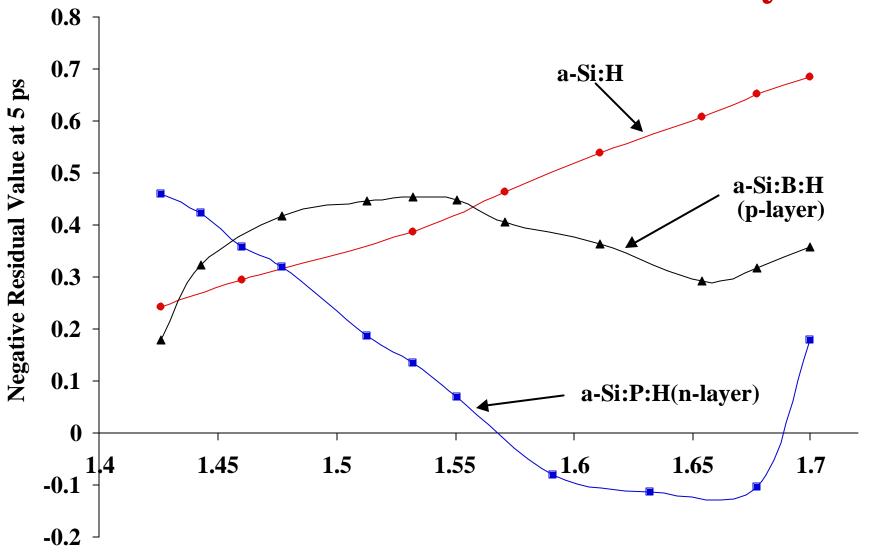
Phospho rus doped (n-type) a-Si ≈ 20 nm thick

Rear contact (ZnO) ≈ 100 nm thick

Scans of the 200 nm Intrinsic a-Si Layer

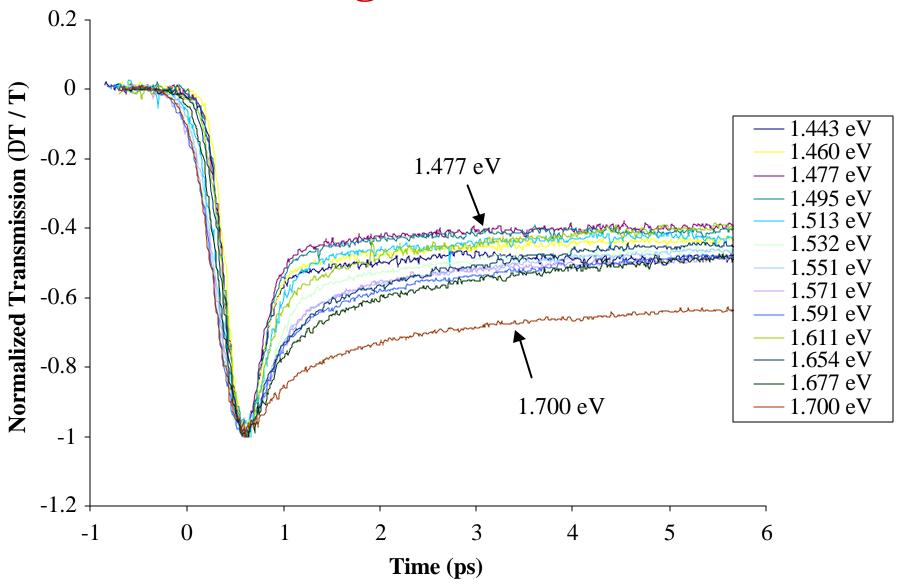


Residual Values for Individual Layers

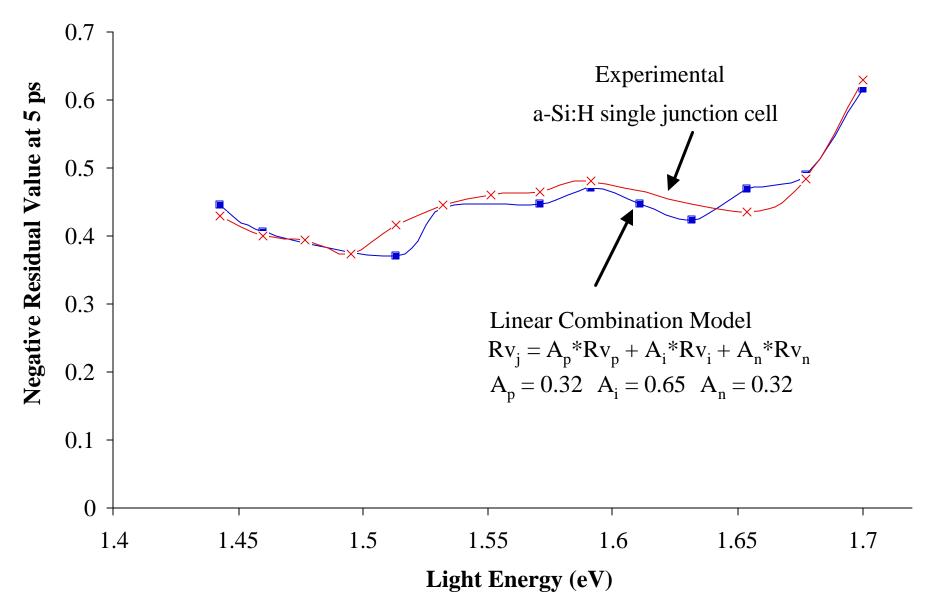


Light Energy (eV)

Scan of a Single Junction a-Si:H Cell



Residual Value for Junction vs. Model



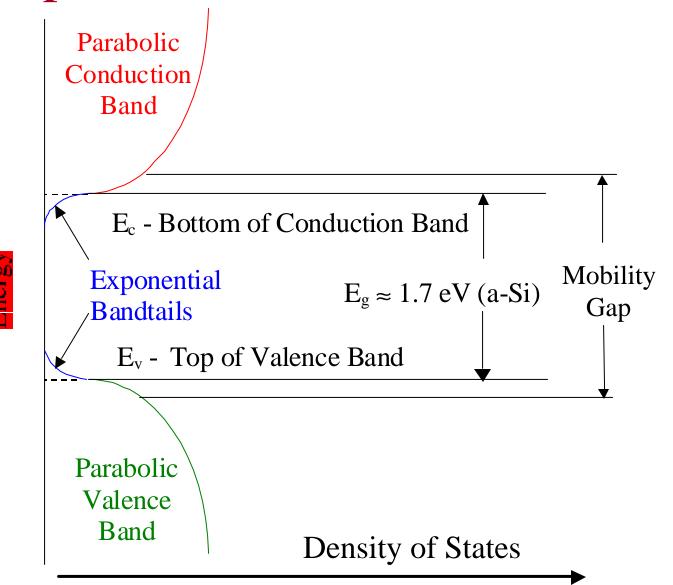
Distinguishable Material Parameters

- Bandgap
- Sample composition (germanium and carbon alloys)
- Dopant concentration (phosphorus and boron doping)
- Hydrogenation level (defect passivation / crystallinity)

Conclusions

- Presented Femtosecond Transient ThermoReflectance (FTTR) technique measurements of the thermal diffusivity, electron-phonon coupling factor, and thermal boundary resistance of thin metallic films.
- Showed that the thermoreflectance response is two orders of magnitude greater when the incident probe energy is near an interband transition, and that the response is only linear for small changes in temperature.
- Demonstrated that the wavelength dependence of Femtosecond Transient ThermoTransmittance (FTTT) response from an amorphous silicon solar cell can be related to the response of the individual layers.

Simplified Band Structure of a-Si



Model Assumptions

- Change in transmission is entirely due to change in absorption.
- Band structure is parabolic with exponential band-tails.
- Absorption before and after spike is "interband" absorption into band-tails. Difference is due to temperature increase.

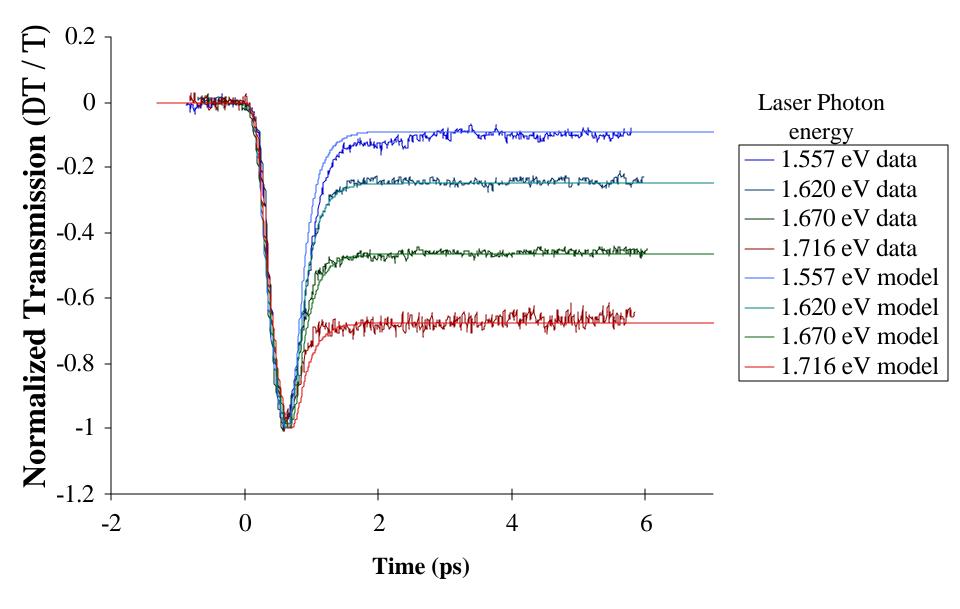
$$N = G_o - G_o \exp(-ax)$$

$$\mathbf{a}_T = \alpha_o \exp\{[h\mathbf{n} - (E_o - \mathbf{b}T)] / 2k_B T\}$$

Spike is due to "intraband" absorption of free carriers generated by the pump being excited higher within the conduction band.

$$\mathbf{a}_{fc} = \mathbf{I}^2 \frac{q^3}{4\mathbf{p}^2 c^3 n^* \mathbf{e}_0} (\frac{N_n}{m_n^2 \mathbf{m}_n} + \frac{N_p}{m_p^2 \mathbf{m}_p})$$

Experiment-Model Comparison



Effects of Electron-Electron Scattering on Thermal Conductivity

Electron Thermal Conductivity

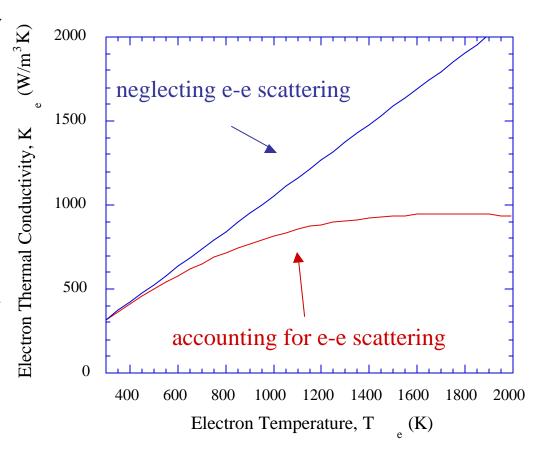
$$K_e \propto \frac{C_e}{\mathbf{w_t}}$$

Electron Heat Capacity

$$C_e = \mathbf{g}T_e$$

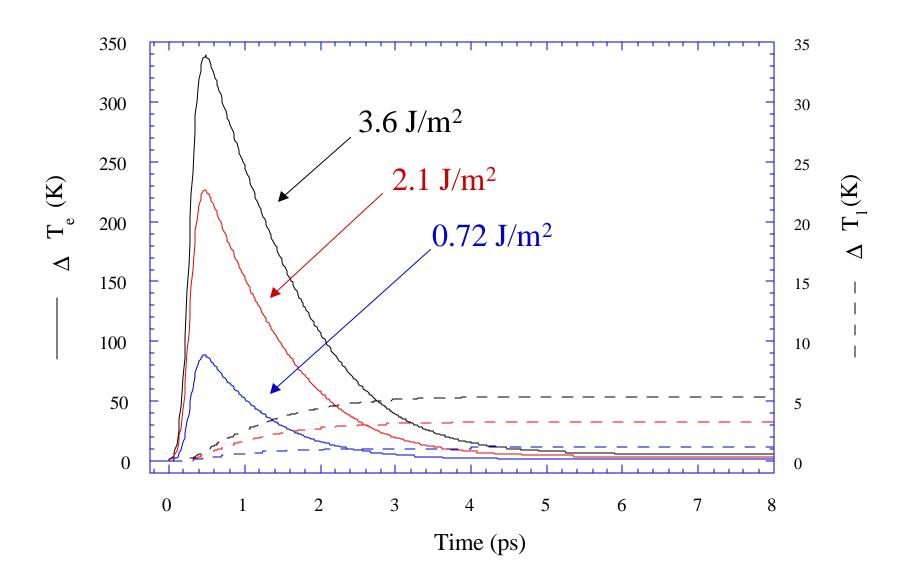
Electron Collisional Frequency

$$\boldsymbol{w_t} = A_{ee}T_e^2 + B_{ep}T_l$$

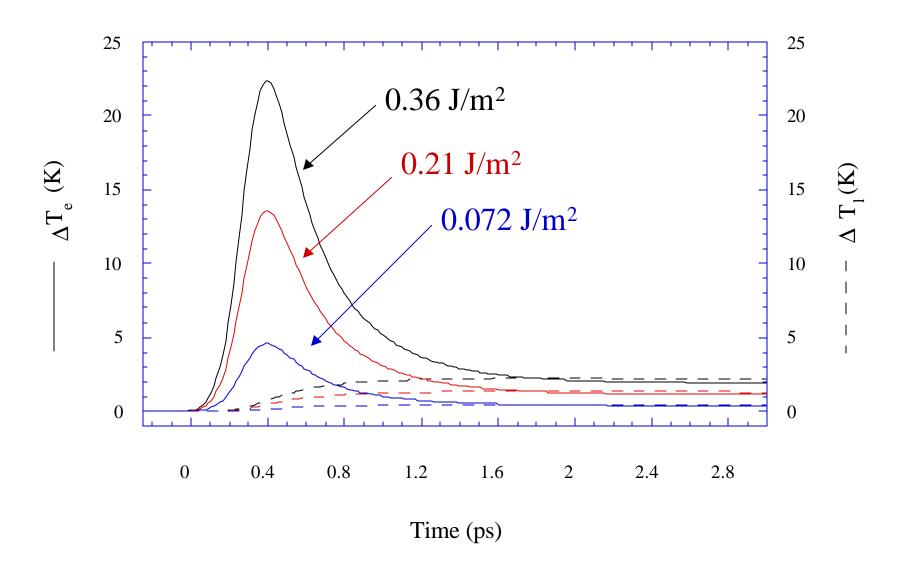


Electron-electron scattering becomes important at higher electron temperatures.

Predicted Temperature Response, Au



Predicted Temperature Response, Pt

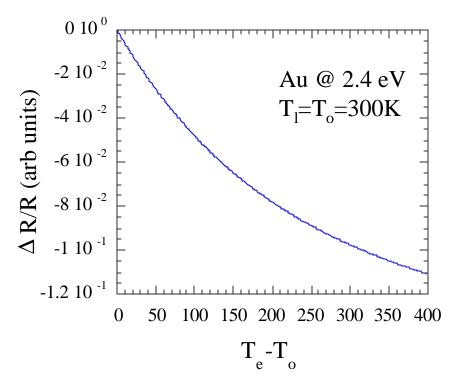


Interband Transitions

Rosei and Lynch, 1972

$$e_2 \propto \frac{(E - E_o)^{1/2}}{E^2} \left(1 - \frac{1}{1 + e^{\frac{(E - E_1)}{k_B T}}} \right)$$

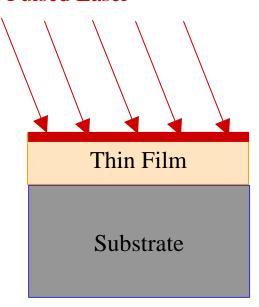
$$DR \approx 10^{-4} \frac{1}{K}$$

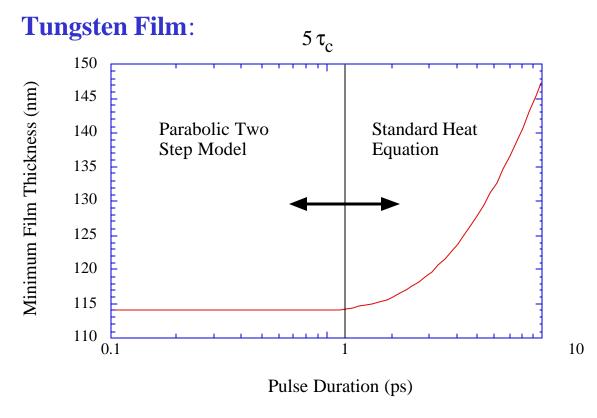


Nonequilibrium Electron Temperature

Transient Thermal Measurement

Ultrashort Pulsed Laser

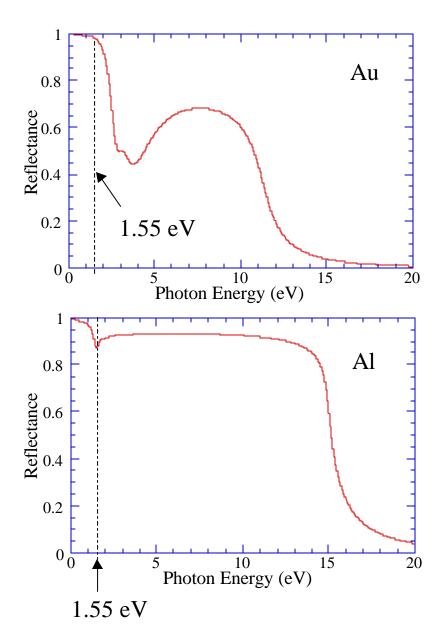




Thermal Penetration Depth:

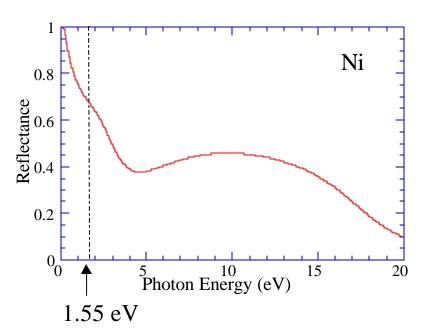
$$\mathbf{d}_{thermal} \approx \left(\mathbf{d}_{optical} + \sqrt{\frac{k_e \mathbf{t}_c}{C_e}} + \sqrt{\frac{k_e t_{pulse}}{C_l}}\right)$$

Interband Effects on Reflectivity



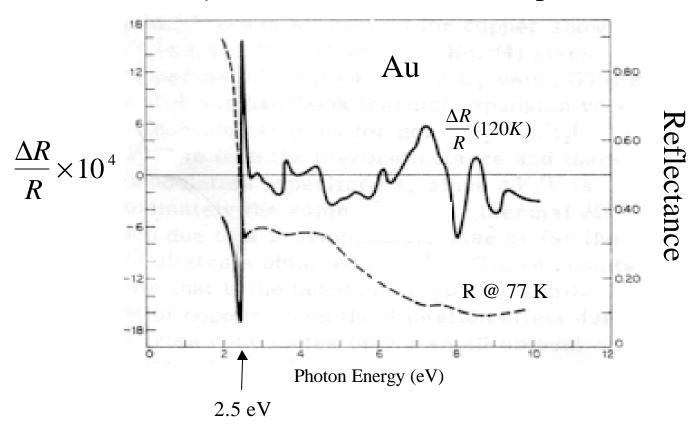
If probe photon energy is near an interband transition, then the bound electron contribution can be significant.

Reflectance spectra calculated from the Drude - Lorentz model with constants from Rakic et al., *Appl. Optics*, **37**, 5271, 1998.



Thermomodulation Critical Points

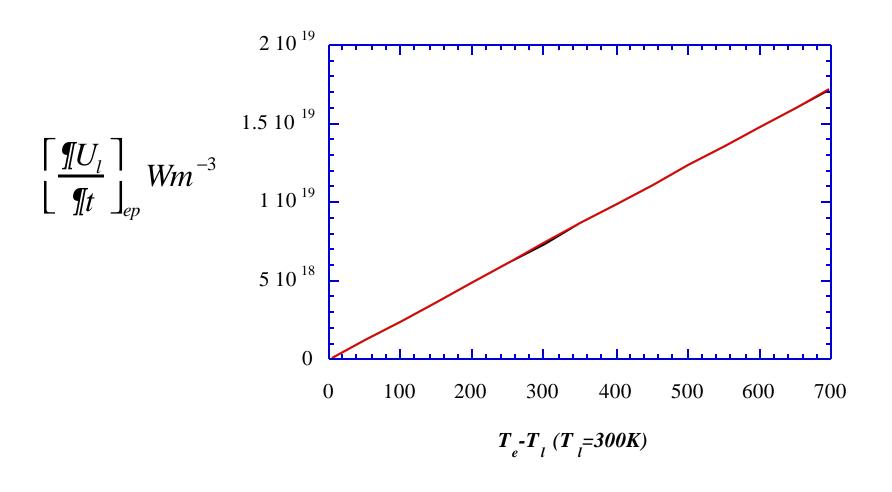
(Scouler, *Phys. Rev.*, Vol. 18, No. 12, p. 445, 1967)



Interband effects cause signal polarity reversal.

Electron Phonon Coupling

Calculated from the electron-phonon collisional equations using the Debye model and assuming that $T_e > T_l > T_{D.}$



Ultrashort Pulsed Laser Heating

Parabolic:

heat propagation is diffusive

Hyperbolic:

heat propagates at a finite speed

One Step:

electrons and lattice are in equilibrium

Two Step:

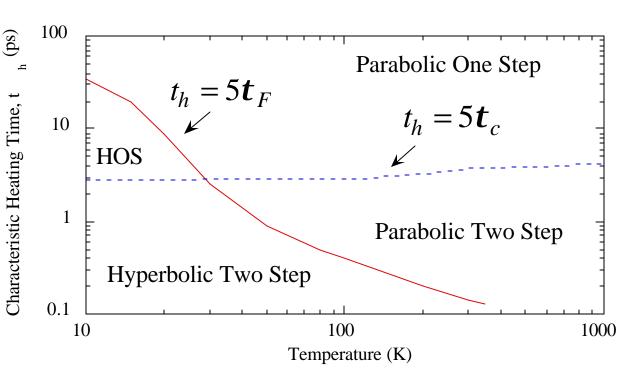
energy is initially absorbed by electrons then coupled into the lattice



Thermalization Time:

$$t_c = \frac{C_e C_l}{(C_e + C_l)G}$$

$$\approx \frac{C_e}{G}$$



Nonlinear Thermoreflectance Response

